

**FINANCIAL SECTOR CONDUCT AUTHORITY****NO. 2815****2 December 2022****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****AMENDMENT OF THE QUALIFICATIONS, EXPERIENCES AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER, 2022**

I, Unathi Kamlana, hereby, under section 17(2) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), promulgate amendments to the Notice on Qualifications, Experiences and Criteria for the Approval as a Compliance Officer, 2010, in terms of section 17(2) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), as set out in the Schedule hereto.



**UNATHI KAMLANA  
COMMISSIONER  
FINANCIAL SECTOR CONDUCT AUTHORITY**

## SCHEDULE

### Interpretation

1. In this Schedule **“the Notice”** means the Notice on Qualifications, Experiences and Criteria for the Approval as a Compliance Officer, 2010, as published by Board Notice 127 of 2010 in Government *Gazette* No. 33537 on 9 September 2010, and as amended by Board Notice 148 of 2016 in Government *Gazette* No. 40239 on 31 August 2016.

### Amendment of paragraph 1 of the Notice

2. Paragraph 1 of the Notice is hereby amended by-
  - (a) the substitution for the definition “application form” of the following definition:

**““application form”** means form 6 and 12 of the Application by Financial Services Providers for Authorisation by the Financial Sector Conduct Authority, FSCA FAIS Notice 1 of 2018;”;
  - (b) the deletion of the definition “Determination of Qualifying Criteria and Qualifications”;
  - (c) the substitution for the definition “Fit and Proper Requirements” of the following definition:

**““Fit and Proper Requirements”** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2017, published by Board Notice 194 of 2017 in Government *Gazette* No. 41321 on 15 December 2017;”;

and
  - (d) the substitution for the definition “regulatory examination” of the following definition:

**““regulatory examination”** in relation to an applicant seeking approval to render compliance services in respect of-

    - (a) a Category I or IV FSP, means the RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements;
    - (b) Category II or IIA FSP, means the –
      - (i) RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements; and
      - (ii) RE 3 regulatory examination developed in terms of the criteria set out in Table 2 of Annexure Five of the Fit and Proper Requirements;
    - (c) Category III FSP, means the-
      - (i) RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements; and
      - (ii) RE 4 regulatory examination developed in terms of the criteria set out in Table 3 of Annexure Five of the Fit and Proper Requirements;”.

### Amendment of paragraph 7 of the Notice

3. Paragraph 7 of the Notice is hereby amended by-
  - (a) the amendment of item (a) of subparagraph (4) as follows:

“(a) in respect of non-unit standards based qualifications, the individual must have successfully completed a minimum of three subjects listed in Annexure 3[Table A], or subjects that are similar in subject matter to those so listed, and at least one of the subjects must be-”; and

(b) the deletion of “Table A” in subparagraph (4).

#### **Amendment of Annexure 2 of the Notice**

4. Annexure 2 of the Notice is hereby amended by the substitution thereof of the Annexure 2 attached to this Schedule below.

#### **Amendment after Annexure 2 of the Notice**

5. The Notice is hereby amended by the insertion after Annexure 2 to the Schedule of the Notice of the following Annexure:

| ANNEXURE 3<br>APPROPRIATE SUBJECT LIST      |                                    |                             |
|---------------------------------------------|------------------------------------|-----------------------------|
| COMPLIANCE OFFICERS                         |                                    |                             |
| Accounting                                  | Equity/ Equities                   | Mercantile Law              |
| Advanced Investment Advice                  | Equity Investments                 | Micro-economics             |
| Anti-money laundering laws and regulations  | Equities Settlement                | Money Laundering Control    |
| Anti-terrorist finance laws and regulations | Estate and Trust Law               | Money laundering schemes    |
| Asset Classes & Basic Investment Principles | Estate Planning                    | Money Market                |
| Auditing                                    | Ethics                             | Money Market Settlement     |
| Auditing and Internal Control               | Finance                            | Network Administration      |
| Authorisations and Approvals (Trading)      | Finance for Non-Financial Managers | Operational Risk Management |

| ANNEXURE 3<br>APPROPRIATE SUBJECT LIST |                                         |                                                       |
|----------------------------------------|-----------------------------------------|-------------------------------------------------------|
| COMPLIANCE OFFICERS                    |                                         |                                                       |
| Banking                                | Financial Accounting                    | Payment of Entitlement                                |
| Banking Operations                     | Financial Advice                        | Performance and Risk Strategy                         |
| Basic Economic Principles              | Financial Analysis                      | Personal Financial Planning                           |
| Behavioural Finance & Economics        | Financial Calculations                  | Personal Insurance Products and Practice              |
| Business Economics                     | Financial Crime                         | Portfolio Management                                  |
| Bond and stock markets                 | Financial Crime Prevention              | Practical Accounting Data Processing                  |
| Blockchain                             | Financial Engineering                   | Primary, secondary and wholesale markets              |
| Bonds                                  | Financial Governance                    | Principles of Crime Prevention, Reduction and Control |
| Bonds Settlement                       | Financial Management                    | Principles of Ethics in a Business Environment        |
| Business Administration                | Financial Market Operations             | Principles of Insurance                               |
| Business Assurance                     | Financial Market Regulatory Environment | Principles of Life Insurance                          |
| Business Economics                     | Financial Markets                       | Principles of Strategy, Risk & Financial Management   |
| Business Entities                      | Financial Operations                    | Principles of Taxation                                |

**ANNEXURE 3**  
**APPROPRIATE SUBJECT LIST**

**COMPLIANCE OFFICERS**

|                                                 |                                            |                                                    |
|-------------------------------------------------|--------------------------------------------|----------------------------------------------------|
| Business Environment                            | Financial Planning                         | Process Management (Process Modelling and Control) |
| Business Ethics                                 | Financial Planning Principles              | Production Management                              |
| Business Finance                                | Financial Reporting                        | Prudential Requirements                            |
| Business Information Systems                    | Financial Services Industry                | Quality Control in Call Centres                    |
| Business Insurance                              | Financial Services Operations              | Quantitative Finance                               |
| Business Integration                            | Financial Statements                       | Quantitative Techniques                            |
| Business Management                             | Forex Exposure Management                  | Quantitative Investment Analysis                   |
| Business Management & Financial Risk Assessment | Fraud Risk Management                      | Regulatory Environment                             |
| Business-To-Business Marketing                  | Fundamentals of Financial Services         | Retirement Finance                                 |
| Call Centre Management                          | General Management                         | Responsibilities of Financial Institutions         |
| Capital Market Frameworks and Concepts          | Global Business Environment                | Responsibilities of the regulatory authorities     |
| Claims Management                               | Governance, Risk and Compliance Management | Retirement Planning                                |
| Client/ Customer Services                       | Group Retirement Benefits                  | Retirement Related Legislation                     |

| ANNEXURE 3<br>APPROPRIATE SUBJECT LIST         |                                       |                                           |
|------------------------------------------------|---------------------------------------|-------------------------------------------|
| COMPLIANCE OFFICERS                            |                                       |                                           |
| Collateral Management                          | Health Benefits/ Health Care Benefits | Retirement Wealth Preservation            |
| Collective Investment Scheme Product Solutions | Independent audits and reviews        | Risk Financing                            |
| Commercial Insurance Products and Practice     | Informatics                           | Risk Management                           |
| Commercial Law                                 | Information Technology Architecture   | Risk Management and Insurance             |
| Companies Law                                  | Information Technology Enterprise     | Securities Markets                        |
| Complaints and Disputes                        | Investigation Methodology             | Settlement (Finance)                      |
| Compliance Function                            | Insurance                             | Small Business Management                 |
| Compliance Legislation                         | Insurance & Risk Management           | South African Financial System            |
| Compliance Management                          | Interest-Bearing Investment           | STRATE                                    |
| Compliance Principles                          | International Finance                 | Strategic Communication Management Skills |
| Computer Architecture                          | International Trade                   | Strategic Management                      |
| Conduct of Business (Regulation)               | Interpretation of Statutes            | Strategy                                  |
| Corporate Finance                              | Introduction to The Financial Markets | Structured Systems Analysis and Design    |

**ANNEXURE 3**  
**APPROPRIATE SUBJECT LIST**

**COMPLIANCE OFFICERS**

|                                       |                                                    |                                                                  |
|---------------------------------------|----------------------------------------------------|------------------------------------------------------------------|
| Corporate Financial Planning          | Introductory Financial Accounting                  | Supervision and Enforcement                                      |
| Corporate Governance                  | Investment Management                              | Tax/ taxation                                                    |
| Corporate Law                         | Investment Planning                                | Techniques                                                       |
| Cost Accounting                       | Investor Psychology                                | The Bond Market                                                  |
| Cost and Financial Management         | Law of Contract or Delict                          | The Derivatives Market                                           |
| Costing and Estimating                | Law of Succession                                  | The Equity Market                                                |
| Creation of Wealth                    | Legal Environment                                  | The Regulation and Ethics of The South African Financial Markets |
| Credit Risk Management                | Legislative Impact on Financial Advisors/ Planners | The South African Foreign Exchange Market                        |
| Crime Risk Perspectives               | Long-Term Product Solutions                        | The South African Money Market                                   |
| Crypto Banking                        | Management                                         | Trading on the Exchange                                          |
| Crypto Currency                       | Managing Client Assets                             | Treasury Management                                              |
| Debt Instrument Solutions             | Managing Regulatory Risk                           | Terrorist Sanctions                                              |
| Descriptive Statistic and Probability | Marketing                                          | Trustee management                                               |

| ANNEXURE 3<br>APPROPRIATE SUBJECT LIST         |                                         |                   |
|------------------------------------------------|-----------------------------------------|-------------------|
| COMPLIANCE OFFICERS                            |                                         |                   |
| E-Commerce in Business                         | Market Conduct                          | Wealth Creation   |
| Econometrics                                   | Market Risk Management                  | Wealth Management |
| Economic Crime Schemes                         | Mathematical Analysis                   |                   |
| Economics/ Macro Economics/<br>Micro Economics | Mathematical Statistics                 |                   |
| Employee Benefits                              | Mathematics                             |                   |
| Enterprise Risk Management                     | Mathematics for Finance and<br>Business |                   |
| Entrepreneurship                               | Medical Schemes                         |                   |

### Repeal

6. The Amendment Notice on the Determination of Recognised Qualifications for Financial Services Providers and Compliance Officers, 2017, published by Board Notice 51 of 2017 in *Government Gazette* No. 40785 on 13 April 2017 is hereby repealed.

### Short title and commencement

7. This Notice is called Amendment of the Qualifications, Experiences and Criteria for Approval as Compliance Officer, 2022, and comes into operation on date of publication.



| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                   |         |                                                   |         |       |                     |
|-----------------------------------------------------------------|---------------------------------------------------|---------|---------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                               | Inst No | Institution                                       | SAQA ID | Level | Credits/Recognition |
| 1154                                                            | ADVANCED CERTIFICATE IN COMPLIANCE                | 116     | INTERNATIONAL COMPLIANCE ASSOCIATION              | FSB0502 | 0     | 0 G                 |
| 879                                                             | ADVANCED CERTIFICATE: BANKING SERVICES            | 4       | MILPARK BUSINESS SCHOOL                           | 90842   | 6     | 120 G               |
| 1115                                                            | ADVANCED CERTIFICATE: FRAUD EXAMINER              | 347     | ASSOCIATION OF CERTIFIED FRAUD EXAMINERS          | 67269   | 7     | 120 G               |
| 880                                                             | ADVANCED CERTIFICATE: MANAGEMENT                  | 4       | MILPARK BUSINESS SCHOOL                           | 90665   | 6     | 120 G               |
| 944                                                             | ADVANCED CERTIFICATE: FINANCIAL PLANNING          | 198     | PSG KONSULT LIMITED                               | 66612   | 6     | 125 G               |
| 944                                                             | ADVANCED CERTIFICATE: FINANCIAL PLANNING          | 250     | CENTURION AKADEMIE                                | 111089  | 6     | 120 G               |
| 944                                                             | ADVANCED CERTIFICATE: FINANCIAL PLANNING          | 265     | MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD | 110441  | 6     | 120 G               |
| 944                                                             | ADVANCED CERTIFICATE: FINANCIAL PLANNING          | 265     | MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD | 83347   | 6     | 120 G               |
| 620                                                             | ADVANCED DIPLOMA IN BUSINESS MANAGEMENT           | 165     | SOUTH AFRICAN INSTITUTE OF MANAGEMENT             | 67689   | 6     | 400 G               |
| 1126                                                            | ADVANCED DIPLOMA IN MANAGEMENT: FINANCE           | 15      | UNIVERSITY OF WESTERN CAPE                        | 21778   | 7     | 120 G               |
| 1219                                                            | ADVANCED DIPLOMA: ACCOUNTANCY                     | 385     | NELSON MANDELA UNIVERSITY                         | 101447  | 7     | 120 G               |
| 1304                                                            | ADVANCED DIPLOMA: FINANCIAL MANAGEMENT            | 162     | REGENT BUSINESS SCHOOL                            | 109030  | 7     | 120 G               |
| 1164                                                            | ADVANCED DIPLOMA: TRUST AND ESTATE ADMINISTRATION | 11      | UNIVERSITY OF FREE STATE                          | 97594   | 7     | 120 G               |

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|-----------------------------------------------------------------|------------------------------------------------------------------|---------|------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                              | Inst No | Institution                                    | SAQA ID | Level | Credits/Recognition |
| 1020                                                            | ADVANCED PROGRAMME: RISK MANAGEMENT                              | 1       | UNISA                                          | FSB0369 | 0     | 0 G                 |
| 604                                                             | ASSOCIATE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT             | 128     | THE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT | FSB071  | 0     | 0 G                 |
| 510                                                             | BACCALAUREUS ARTIUM                                              | 5       | UNIVERSITY OF PRETORIA                         | 23375   | 7     | 360 G               |
| 834                                                             | BACCALAUREUS COMMERCII: MARKETING MANAGEMENT                     | 194     | GRADUATE ACADEMY OF SOUTH AFRICA               | FSB0203 | 6     | 360 G               |
| 600                                                             | BACCALAUREUS COMPUTATIONIS                                       | 11      | UNIVERSITY OF FREE STATE                       | 16906   | 7     | 688 G               |
| 1314                                                            | BACCALAUREUS COMPUTATIONIS                                       | 20      | UNIVERSITY OF LIMPOPO                          | FSB0640 | 0     | 0 G                 |
| 1039                                                            | BACCALAUREUS JURIS                                               | 300     | UNIVERSITY OF NAMIBIA                          | FSB0389 | 0     | 0 G                 |
| 567                                                             | BACCALAUREUS JURIS                                               | 5       | UNIVERSITY OF PRETORIA                         | FSB0457 | 0     | 0 G                 |
| 567                                                             | BACCALAUREUS JURIS                                               | 256     | UNIVERSITY OF TRANSKEI                         | FSB0292 | 0     | 0 G                 |
| 560                                                             | BACCALAUREUS PROCURATIONIS                                       | 13      | RAU                                            | FSB0288 | 0     | 0 G                 |
| 154                                                             | BACCALAUREUS PROCURATIONIS                                       | 14      | UNIVERSITY OF PORT ELIZABETH                   | 4581    | 6     | 360 G               |
| 520                                                             | BACCALAUREUS TECHNOLOGIE: BUSINESS ADMINISTRATION                | 26      | PORT ELIZABETH TECHNIKON                       | FSB0282 | 0     | 0 G                 |
| 1116                                                            | BACHELOR COMMERCE: BUSINESS MANAGEMENT AND INDUSTRIAL PSYCHOLOGY | 1       | UNISA                                          | FSB0472 | 0     | 0 G                 |
| 1116                                                            | BACHELOR COMMERCE: BUSINESS MANAGEMENT AND INDUSTRIAL PSYCHOLOGY | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY         | FSB0592 | 0     | 0 G                 |
| 1279                                                            | BACHELOR OF ACCOUNTANCY                                          | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE    | FSB0613 | 0     | 0 G                 |

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|-----------------------------------------------------------------|-------------------------------------------------------------------------|---------|---------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                                     | Inst No | Institution                     | SAQA ID | Level | Credits/Recognition |
| 1260                                                            | BACHELOR OF ACCOUNTING                                                  | 8       | UNIVERSITY OF JOHANNESBURG      | 90622   | 7     | 360 G               |
| 254                                                             | BACHELOR OF ACCOUNTING                                                  | 11      | UNIVERSITY OF FREE STATE        | 16946   | 7     | 688 G               |
| 254                                                             | BACHELOR OF ACCOUNTING                                                  | 339     | BOSTON CITY CAMPUS              | 103057  | 7     | 360 G               |
| 204                                                             | BACHELOR OF ACCOUNTING HONOURS                                          | 11      | UNIVERSITY OF FREE STATE        | 17470   | 8     | 120 G               |
| 63                                                              | BACHELOR OF ACCOUNTING SCIENCE                                          | 1       | UNISA                           | 5535    | 6     | 408 G               |
| 63                                                              | BACHELOR OF ACCOUNTING SCIENCE                                          | 7       | UNIVERSITY OF THE WITWATERSRAND | 49923   | 7     | 360 G               |
| 63                                                              | BACHELOR OF ACCOUNTING SCIENCE                                          | 199     | WALTER SISULU UNIVERSITY        | 80190   | 7     | 360 G               |
| 63                                                              | BACHELOR OF ACCOUNTING SCIENCE                                          | 252     | REGENESYS BUSINESS SCHOOL       | 111745  | 7     | 360 G               |
| 1296                                                            | BACHELOR OF ACCOUNTING SCIENCES: TAXATION                               | 1       | UNISA                           | 86226   | 7     | 360 G               |
| 746                                                             | BACHELOR OF ADMINISTRATION                                              | 1       | UNISA                           | 5553    | 9     | 360 G               |
| 746                                                             | BACHELOR OF ADMINISTRATION                                              | 11      | UNIVERSITY OF FREE STATE        | 8555    | 7     | 440 G               |
| 746                                                             | BACHELOR OF ADMINISTRATION                                              | 12      | UNIVERSITY OF KWAZULU-NATAL     | 72826   | 6     | 384 G               |
| 746                                                             | BACHELOR OF ADMINISTRATION                                              | 352     | CHARTALL BUSINESS COLLEGE       | 99380   | 7     | 360 G               |
| 1067                                                            | BACHELOR OF ADMINISTRATION: PUBLIC ADMINISTRATION                       | 12      | UNIVERSITY OF KWAZULU-NATAL     | 81823   | 7     | 384 G               |
| 1151                                                            | BACHELOR OF ADMINISTRATION: PUBLIC SECTOR MANAGEMENT AND ADMINISTRATION | 19      | UNIVERSITY OF FORT HARE         | FSB0499 | 0     | 0 G                 |
| 1043                                                            | BACHELOR OF AGRICULTURE                                                 | 11      | UNIVERSITY OF FREE STATE        | 8475    | 7     | 360 G               |

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|-----------------------------------------------------------------|--------------------------------------------------------------|---------|-------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                          | Inst No | Institution                               | SAQA ID | Level | Credits/Recognition |
| 1043                                                            | BACHELOR OF AGRICULTURE                                      | 19      | UNIVERSITY OF FORT HARE                   | 3393    | 7     | 384 G               |
| 87                                                              | BACHELOR OF ARTS                                             | 12      | UNIVERSITY OF KWAZULU-NATAL               | 84727   | 7     | 360 G               |
| 1168                                                            | BACHELOR OF ARTS HONOURS:<br>BUSINESS MANAGEMENT / ECONOMICS | 11      | UNIVERSITY OF FREE STATE                  | FSB0513 | 0     | 0 G                 |
| 1140                                                            | BACHELOR OF ARTS HONOURS:<br>COMMERCE                        | 362     | NAPIER UNIVERSITY, EDINBURGH              | FSB0488 | 0     | 0 G                 |
| 972                                                             | BACHELOR OF ARTS: INVESTMENT<br>& INTERNATIONAL RELATIONS    | 273     | LONDON METROPOLITAN UNIVERSITY            | FSB0326 | 0     | 0 G                 |
| 918                                                             | BACHELOR OF ARTS: APPLIED<br>ECONOMICS WITH FRENCH STUDIES   | 243     | KINGSTON UNIVERSITY                       | FSB0270 | 0     | 0 G                 |
| 1025                                                            | BACHELOR OF ARTS: BUSINESS<br>STUDIES                        | 299     | THE MANCHESTER METROPOLITAN<br>UNIVERSITY | FSB0374 | 0     | 0 G                 |
| 279                                                             | BACHELOR OF ARTS: ECONOMICS                                  | 253     | UNIVERSITY OF LEEDS                       | FSB0286 | 0     | 0 G                 |
| 1004                                                            | BACHELOR OF ARTS: ECONOMICS<br>AND INTERNATIONAL RELATIONS   | 289     | CONNECTICUT COLLEGE NEW LONDON            | FSB0353 | 0     | 0 G                 |
| 1239                                                            | BACHELOR OF ARTS: LAW<br>SUBJECTS                            | 360     | NORTH WEST UNIVERSITY                     | 23375   | 7     | 360 G               |
| 289                                                             | BACHELOR OF BUSINESS<br>ADMINISTRATION                       | 252     | REGENESYS BUSINESS SCHOOL                 | 84027   | 7     | 360 G               |
| 289                                                             | BACHELOR OF BUSINESS<br>ADMINISTRATION                       | 307     | TSIBA                                     | 61469   | 7     | 369 G               |
| 867                                                             | BACHELOR OF BUSINESS<br>ADMINISTRATION                       | 154     | HERIOT-WATT UNIVERSITY                    | FSB0284 | 0     | 0 G                 |

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|-----------------------------------------------------------------|-----------------------------------------------------------|---------|----------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                       | Inst No | Institution                      | SAQA ID | Level | Credits/Recognition |
| 867                                                             | BACHELOR OF BUSINESS ADMINISTRATION                       | 278     | SOLUSI UNIVERSITY                | FSB0333 | 0     | 0 G                 |
| 867                                                             | BACHELOR OF BUSINESS ADMINISTRATION                       | 283     | UNIVERSITY OF PHOENIX            | FSB0342 | 0     | 0 G                 |
| 867                                                             | BACHELOR OF BUSINESS ADMINISTRATION                       | 308     | UNIVERSITY OF MALAWI             | FSB0396 | 0     | 0 G                 |
| 867                                                             | BACHELOR OF BUSINESS ADMINISTRATION                       | 309     | MING CHUAN UNIVERSITY            | FSB0398 | 0     | 0 G                 |
| 867                                                             | BACHELOR OF BUSINESS ADMINISTRATION                       | 341     | WOODFIELD UNIVERSITY             | FSB0466 | 0     | 0 G                 |
| 1200                                                            | BACHELOR OF BUSINESS ADMINISTRATION: BANKING              | 252     | REGENESYS BUSINESS SCHOOL        | 96456   | 7     | 360 G               |
| 963                                                             | BACHELOR OF BUSINESS ADMINISTRATION: BUSINESS MANAGEMENT  | 12      | UNIVERSITY OF KWAZULU-NATAL      | 72833   | 7     | 384 G               |
| 914                                                             | BACHELOR OF BUSINESS ADMINISTRATION: MARKETING MANAGEMENT | 101     | IMM GRADUATE SCHOOL OF MARKETING | 80967   | 7     | 400 G               |
| 817                                                             | BACHELOR OF BUSINESS MANAGEMENT                           | 28      | UNIVERSITY OF HAMPSHIRE          | FSB0187 | 0     | 0 G                 |
| 847                                                             | BACHELOR OF BUSINESS SCIENCE                              | 186     | RHODES UNIVERSITY                | 108855  | 8     | 480 G               |
| 878                                                             | BACHELOR OF BUSINESS SCIENCE                              | 92      | MONASH SOUTH AFRICA              | 88603   | 7     | 374 G               |
| 1280                                                            | BACHELOR OF BUSINESS SCIENCE                              | 12      | UNIVERSITY OF KWAZULU-NATAL      | FSB0614 | 0     | 0 G                 |

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|-----------------------------------------------------------------|----------------------------------------------|---------|----------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                          | Inst No | Institution                            | SAQA ID | Level | Credits Recognition |
| 1267                                                            | BACHELOR OF BUSINESS SCIENCE: MANAGEMENT     | 12      | UNIVERSITY OF KWAZULU-NATAL            | 81070   | 8     | 480 G               |
| 1064                                                            | BACHELOR OF BUSINESS SCIENCE: ECONOMICS      | 9       | UNIVERSITY OF CAPE TOWN                | FSB0412 | 9     | 120 G               |
| 872                                                             | BACHELOR OF BUSINESS STUDIES                 | 221     | DUBLIN CITY UNIVERSITY                 | FSB0227 | 0     | 0 G                 |
| 905                                                             | BACHELOR OF BUSINESS STUDIES HONOURS         | 22      | UNIVERSITY OF ZIMBABWE                 | FSB0261 | 0     | 0 G                 |
| 1122                                                            | BACHELOR OF BUSINESS: FINANCE AND MANAGEMENT | 349     | EDITH COWAN UNIVERSITY AUSTRALIA       | FSB0477 | 0     | 0 G                 |
| 1038                                                            | BACHELOR OF COMMERCE                         | 340     | THE NATIONAL UNIVERSITY OF LESOTHO     | FSB0463 | 0     | 0 G                 |
| 706                                                             | BACHELOR OF COMMERCE                         | 9       | UNIVERSITY OF CAPE TOWN                | FSB0207 | 7     | 432 G               |
| 706                                                             | BACHELOR OF COMMERCE                         | 20      | UNIVERSITY OF LIMPOPO                  | FSB0285 | 0     | 0 G                 |
| 964                                                             | BACHELOR OF COMMERCE                         | 77      | INDEPENDENT INSTITUTE OF EDUCATION     | 84706   | 7     | 366 G               |
| 964                                                             | BACHELOR OF COMMERCE                         | 379     | CTI EDUCATION GROUP                    | 80730   | 7     | 364 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 1       | UNISA                                  | 5563    | 6     | 384 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 4       | MILPARK BUSINESS SCHOOL                | 90509   | 7     | 370 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 5       | UNIVERSITY OF PRETORIA                 | 7027    | 7     | 386 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 7       | UNIVERSITY OF THE WITWATERSRAND        | 9843    | 6     | 360 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 18      | UNIVERSITY OF POTCHEFSTROOM            | 13758   | 7     | 384 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 162     | REGENT BUSINESS SCHOOL                 | 71778   | 7     | 360 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 172     | UNIVERSITY OF ZULULAND                 | 94058   | 7     | 360 G               |
| 131                                                             | BACHELOR OF COMMERCE                         | 186     | RHODES UNIVERSITY                      | 15147   | 7     | 360 G               |
| 518                                                             | BACHELOR OF COMMERCE                         | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY | 87057   | 7     | 360 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                     |         |                                                  |         |       |         |             |
|-----------------------------------------------------------------|---------------------------------------------------------------------|---------|--------------------------------------------------|---------|-------|---------|-------------|
| No                                                              | Qualification title                                                 | Inst No | Institution                                      | SAQA ID | Level | Credits | Recognition |
| 738                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS                                  | 11      | UNIVERSITY OF FREE STATE                         | 16920   | 6     | 504     | G           |
| 1153                                                            | BACHELOR OF COMMERCE<br>BANKING AND<br>FINANCE HONOURS DEGREE       | 365     | MIDLANDS STATE UNIVERSITY                        | FSB0501 | 0     | 0       | G           |
| 598                                                             | BACHELOR OF COMMERCE<br>HONOURS                                     | 1       | UNISA                                            | 5687    | 8     | 120     | G           |
| 598                                                             | BACHELOR OF COMMERCE<br>HONOURS                                     | 162     | REGENT BUSINESS SCHOOL                           | 79326   | 8     | 120     | G           |
| 593                                                             | BACHELOR OF COMMERCE<br>HONOURS<br>INSURANCE AND RISK<br>MANAGEMENT | 29      | MIDLANDS STATE UNIVERSITY                        | FSB0132 | 0     | 0       | G           |
| 1147                                                            | BACHELOR OF COMMERCE<br>HONOURS:<br>MANAGEMENT ACCOUNTING           | 10      | UNIVERSITY OF STELLENBOSCH                       | FSB0544 | 0     | 0       | G           |
| 1147                                                            | BACHELOR OF COMMERCE<br>HONOURS:<br>MANAGEMENT ACCOUNTING           | 11      | UNIVERSITY OF FREE STATE                         | FSB0497 | 0     | 0       | G           |
| 313                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>ACCOUNTING                      | 11      | UNIVERSITY OF FREE STATE                         | 16948   | 8     | 120     | G           |
| 874                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>ACTUARIAL SCIENCE               | 30      | NATIONAL UNIVERSITY OF SCIENCE AND<br>TECHNOLOGY | FSB0229 | 0     | 0       | G           |
| 733                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>BUSINESS FINANCE, ECONOMICS     | 12      | UNIVERSITY OF KWAZULU-NATAL                      | 79007   | 8     | 128     | G           |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                              |         |                            |         |       |                     |
|-----------------------------------------------------------------|--------------------------------------------------------------|---------|----------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                          | Inst No | Institution                | SAQA ID | Level | Credits Recognition |
| 1014                                                            | BACHELOR OF COMMERCE<br>HONOURS:<br>BUSINESS MANAGEMENT      | 1       | UNISA                      | FSB0363 | 0     | 0 G                 |
| 576                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>ECONOMETRICS             | 8       | UNIVERSITY OF JOHANNESBURG | 3173    | 8     | 160 G               |
| 930                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>ECONOMICS                | 1       | UNISA                      | FSB0276 | 0     | 0 G                 |
| 930                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>ECONOMICS                | 10      | UNIVERSITY OF STELLENBOSCH | FSB0465 | 0     | 0 G                 |
| 949                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>FINANCIAL ANALYSIS       | 10      | UNIVERSITY OF STELLENBOSCH | FSB0302 | 0     | 0 G                 |
| 923                                                             | BACHELOR OF COMMERCE<br>HONOURS: RISK<br>MANAGEMENT          | 17      | UNIVERSITY OF NORTH WEST   | FSB0274 | 0     | 0 G                 |
| 389                                                             | BACHELOR OF COMMERCE<br>HONOURS:<br>TAXATION                 | 11      | UNIVERSITY OF FREE STATE   | FSB0498 | 0     | 0 G                 |
| 711                                                             | BACHELOR OF COMMERCE IN<br>COST AND<br>MANAGEMENT ACCOUNTING | 21      | UNIVERSITY OF VENDA        | 9175    | 6     | 424 G               |
| 1201                                                            | BACHELOR OF COMMERCE:<br>ACCOUNTING                          | 172     | UNIVERSITY OF ZULULAND     | FSB0548 | 0     | 0 G                 |
| 1082                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT              | 1       | UNISA                      | FSB0534 | 0     | 0 G                 |

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| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                               |         |                                           |         |       |                     |
|-----------------------------------------------------------------|---------------------------------------------------------------|---------|-------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                           | Inst No | Institution                               | SAQA ID | Level | Credits Recognition |
| 1082                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT               | 10      | UNIVERSITY OF STELLENBOSCH                | FSB0508 | 0     | 0 G                 |
| 1082                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT               | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY | FSB0434 | 0     | 0 G                 |
| 1104                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT AND ECONOMICS | 5       | UNIVERSITY OF PRETORIA                    | FSB0621 | 0     | 0 G                 |
| 1104                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT AND ECONOMICS | 8       | UNIVERSITY OF JOHANNESBURG                | FSB0561 | 0     | 0 G                 |
| 1104                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT AND ECONOMICS | 19      | UNIVERSITY OF FORT HARE                   | FSB0462 | 0     | 0 G                 |
| 1104                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT AND ECONOMICS | 385     | NELSON MANDELA UNIVERSITY                 | FSB0562 | 0     | 0 G                 |
| 1142                                                            | BACHELOR OF COMMERCE:<br>CHARTERED<br>ACCOUNTANCY             | 360     | NORTH WEST UNIVERSITY                     | FSB0490 | 0     | 0 G                 |
| 1144                                                            | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>STATISTICS          | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY | FSB0491 | 0     | 0 G                 |
| 1226                                                            | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>STATISTICS          | 9       | UNIVERSITY OF CAPE TOWN                   | 4437    | 6     | 432 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                                      |         |                             |         |       |                     |
|-----------------------------------------------------------------|--------------------------------------------------------------------------------------|---------|-----------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                                                  | Inst No | Institution                 | SAQA ID | Level | Credits Recognition |
| 1173                                                            | BACHELOR OF COMMERCE:<br>ECONOMICS,<br>FINANCE                                       | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0519 | 0     | 0 G                 |
| 966                                                             | BACHELOR OF COMMERCE:<br>GENERAL                                                     | 19      | UNIVERSITY OF FORT HARE     | FSB0322 | 0     | 0 G                 |
| 983                                                             | BACHELOR OF COMMERCE:<br>HUMAN RESOURCE<br>MANAGEMENT, MARKETING                     | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0332 | 0     | 0 G                 |
| 1141                                                            | BACHELOR OF COMMERCE:<br>INDUSTRIAL PSYCHOLOGY AND<br>LABOUR RELATIONS<br>MANAGEMENT | 360     | NORTH WEST UNIVERSITY       | FSB0489 | 0     | 0 G                 |
| 1102                                                            | BACHELOR OF COMMERCE:<br>INFORMATION<br>MANAGEMENT                                   | 47      | DAMELIN                     | 61649   | 6     | 360 G               |
| 1241                                                            | BACHELOR OF COMMERCE:<br>INFORMATION<br>TECHNOLOGY                                   | 11      | UNIVERSITY OF FREE STATE    | 16922   | 6     | 488 G               |
| 2                                                               | BACHELOR OF COMMERCE:<br>INTERNAL<br>AUDITING                                        | 5       | UNIVERSITY OF PRETORIA      | 16390   | 7     | 464 G               |
| 1171                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT                                                  | 19      | UNIVERSITY OF FORT HARE     | FSB0553 | 0     | 0 G                 |
| 1171                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT                                                  | 360     | NORTH WEST UNIVERSITY       | FSB0517 | 0     | 0 G                 |
| 1190                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT                                                  | 172     | UNIVERSITY OF ZULULAND      | FSB0539 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                |         |                             |         |       |                     |
|-----------------------------------------------------------------|----------------------------------------------------------------|---------|-----------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                            | Inst No | Institution                 | SAQA ID | Level | Credits/Recognition |
| 1268                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT STUDIES                    | 9       | UNIVERSITY OF CAPE TOWN     | FSB0602 | 0     | 0 G                 |
| 1176                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT, HUMAN ECONOMICS           | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0522 | 0     | 0 G                 |
| 1186                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT, HUMAN RESOURCE MANAGEMENT | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0537 | 0     | 0 G                 |
| 1172                                                            | BACHELOR OF COMMERCE:<br>MARKETING, ECONOMICS                  | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0518 | 0     | 0 G                 |
| 514                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTANCY AND LAW                   | 17      | UNIVERSITY OF NORTH WEST    | FSB0298 | 0     | 0 G                 |
| 568                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 21      | UNIVERSITY OF VENDA         | FSB0394 | 0     | 0 G                 |
| 51                                                              | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 15      | UNIVERSITY OF WESTERN CAPE  | 7259    | 6     | 360 G               |
| 51                                                              | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 19      | UNIVERSITY OF FORT HARE     | 17394   | 7     | 384 G               |
| 51                                                              | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 47      | DAMELIN                     | 58004   | 7     | 360 G               |
| 51                                                              | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 199     | WALTER SISULU UNIVERSITY    | 80806   | 7     | 360 G               |
| 166                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING                            | 8       | UNIVERSITY OF JOHANNESBURG  | 73772   | 6     | 432 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                         |         |                                              |                 |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------------------------------|---------|----------------------------------------------|-----------------|-------|---------------------|
| No                                                              | Qualification title                                                     | Inst No | Institution                                  | SAQA ID         | Level | Credits/Recognition |
| 803                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING                                     | 12      | UNIVERSITY OF KWAZULU-NATAL                  | 14780/790<br>09 | 6     | 416 G               |
| 803                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING                                     | 162     | REGENT BUSINESS SCHOOL                       | 90913           | 7     | 360 G               |
| 803                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING                                     | 200     | MIDRAND GRADUATE INSTITUTE                   | 48853           | 7     | 540 G               |
| 1130                                                            | BACHELOR OF COMMERCE:<br>ACCOUNTING<br>FINANCE                          | 355     | GRIFFITH UNIVERSITY QUEENSLAND,<br>AUSTRALIA | FSB0483         | 0     | 0 G                 |
| 1085                                                            | BACHELOR OF COMMERCE:<br>ACCOUNTING FOR<br>CHARTERED ACCOUNTANTS        | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY    | FSB0438         | 0     | 0 G                 |
| 197                                                             | BACHELOR OF COMMERCE:<br>ACCOUNTING<br>SCIENCES                         | 5       | UNIVERSITY OF PRETORIA                       | 7033            | 7     | 493 G               |
| 734                                                             | BACHELOR OF COMMERCE:<br>ACTUARIAL<br>SCIENCE                           | 10      | UNIVERSITY OF STELLENBOSCH                   | FSB0250         | 0     | 0 G                 |
| 575                                                             | BACHELOR OF COMMERCE:<br>AGRICULTURAL<br>ECONOMICS                      | 5       | UNIVERSITY OF PRETORIA                       | FSB0281         | 0     | 0 G                 |
| 837                                                             | BACHELOR OF COMMERCE:<br>AUDITING,<br>MANAGERIAL ACCOUNTING,<br>FINANCE | 12      | UNIVERSITY OF KWAZULU-NATAL                  | 79006           | 7     | 384 G               |
| 513                                                             | BACHELOR OF COMMERCE:<br>BANKING                                        | 172     | UNIVERSITY OF ZULULAND                       | FSB0294         | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                             |         |                             |         |       |                     |
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| No                                                              | Qualification title                                         | Inst No | Institution                 | SAQA ID | Level | Credits Recognition |
| 259                                                             | BACHELOR OF COMMERCE:<br>BANKING                            | 1       | UNISA                       | 84327   | 7     | 360 G               |
| 724                                                             | BACHELOR OF COMMERCE:<br>BUSINESS<br>FINANCE, ECONOMICS     | 12      | UNIVERSITY OF KWAZULU-NATAL | 79006   | 0     | 0 G                 |
| 1058                                                            | BACHELOR OF COMMERCE:<br>BUSINESS<br>INFORMATICS            | 1       | UNISA                       | 86267   | 7     | 360 G               |
| 152                                                             | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT             | 113     | DA VINCI INSTITUTE          | 84767   | 7     | 360 G               |
| 152                                                             | BACHELOR OF COMMERCE:<br>BUSINESS<br>MANAGEMENT             | 380     | PRESTIGE ACADEMY            | 84887   | 7     | 365 G               |
| 876                                                             | BACHELOR OF COMMERCE:<br>COMMUNICATION                      | 13      | RAU                         | FSB0230 | 0     | 0 G                 |
| 853                                                             | BACHELOR OF COMMERCE:<br>ECONOMETRICS                       | 13      | RAU                         | FSB0216 | 0     | 0 G                 |
| 917                                                             | BACHELOR OF COMMERCE:<br>ECONOMIC AND<br>MANAGEMENT SCIENCE | 10      | UNIVERSITY OF STELLENBOSCH  | 19585   | 7     | 386 G               |
| 913                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS                          | 20      | UNIVERSITY OF LIMPOPO       | 19680   | 6     | 360 G               |
| 153                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS                          | 21      | UNIVERSITY OF VENDA         | 9209    | 7     | 476 G               |
| 1042                                                            | BACHELOR OF COMMERCE:<br>ECONOMICS                          | 305     | MCGILL UNIVERSITY           | FSB0391 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                      |         |                            |         |       |                     |
|-----------------------------------------------------------------|----------------------------------------------------------------------|---------|----------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                                  | Inst No | Institution                | SAQA ID | Level | Credits Recognition |
| 946                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>ECONOMETRICS               | 8       | UNIVERSITY OF JOHANNESBURG | FSB0300 | 0     | 0 G                 |
| 780                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>INTERNATIONAL TRADE        | 17      | UNIVERSITY OF NORTH WEST   | 72773   | 7     | 360 G               |
| 165                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>LAW                        | 9       | UNIVERSITY OF CAPE TOWN    | 4427    | 6     | 432 G               |
| 992                                                             | BACHELOR OF COMMERCE:<br>ECONOMICS AND<br>RISK MANAGEMENT            | 17      | UNIVERSITY OF NORTH WEST   | FSB0340 | 0     | 0 G                 |
| 585                                                             | BACHELOR OF COMMERCE:<br>ENTREPRENEURSHIP                            | 1       | UNISA                      | 5563    | 6     | 360 G               |
| 585                                                             | BACHELOR OF COMMERCE:<br>ENTREPRENEURSHIP                            | 5       | UNIVERSITY OF PRETORIA     | 16687   | 7     | 448 G               |
| 1117                                                            | BACHELOR OF COMMERCE:<br>ENTREPRENEURSHIP AND<br>BUSINESS MANAGEMENT | 17      | UNIVERSITY OF NORTH WEST   | FSB0473 | 0     | 0 G                 |
| 11                                                              | BACHELOR OF COMMERCE:<br>FINANCE                                     | 205     | BOND UNIVERSITY            | 24462   | 7     | 360 G               |
| 982                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>ACCOUNTANCY                    | 9       | UNIVERSITY OF CAPE TOWN    | FSB0421 | 0     | 0 G                 |
| 766                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>JOURNALISM                     | 11      | UNIVERSITY OF FREE STATE   | 16923   | 6     | 536 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                          |         |                                                 |               |       |                     |
|-----------------------------------------------------------------|----------------------------------------------------------|---------|-------------------------------------------------|---------------|-------|---------------------|
| No                                                              | Qualification title                                      | Inst No | Institution                                     | SAQA ID       | Level | Credits/Recognition |
| 691                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>MANAGEMENT         | 1       | UNISA                                           | 0201 1<br>FIM | 6     | 360 G               |
| 691                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>MANAGEMENT         | 21      | UNIVERSITY OF VENDA                             | 22854         | 6     | 424 G               |
| 691                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>MANAGEMENT         | 76      | MANAGEMENT COLLEGE OF SOUTH AFRICA<br>(MANCOSA) | 94677         | 7     | 360 G               |
| 761                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>MANAGEMENT SCIENCE | 5       | UNIVERSITY OF PRETORIA                          | 7063          | 7     | 471 G               |
| 307                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>PLANNING           | 14      | UNIVERSITY OF PORT ELIZABETH                    | 66093         | 6     | 366 G               |
| 307                                                             | BACHELOR OF COMMERCE:<br>FINANCIAL<br>PLANNING           | 403     | AKADEMIA NPC                                    | 99642         | 7     | 370 G               |
| 511                                                             | BACHELOR OF COMMERCE:<br>GENERAL                         | 15      | UNIVERSITY OF WESTERN CAPE                      | 19408         | 7     | 360 G               |
| 1071                                                            | BACHELOR OF COMMERCE:<br>GENERAL<br>ACCOUNTING           | 14      | UNIVERSITY OF PORT ELIZABETH                    | FSB0433       | 7     | 360 G               |
| 414                                                             | BACHELOR OF COMMERCE:<br>HUMAN RESOURCE<br>MANAGEMENT    | 1       | UNISA                                           | 5563          | 6     | 360 G               |
| 414                                                             | BACHELOR OF COMMERCE:<br>HUMAN RESOURCE<br>MANAGEMENT    | 5       | UNIVERSITY OF PRETORIA                          | 7067          | 7     | 455 G               |

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| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                               |         |                                           |         |       |                     |
|-----------------------------------------------------------------|---------------------------------------------------------------|---------|-------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                           | Inst No | Institution                               | SAQA ID | Level | Credits Recognition |
| 256                                                             | BACHELOR OF COMMERCE:<br>INDUSTRIAL<br>PSYCHOLOGY             | 21      | UNIVERSITY OF VENDA                       | 9411    | 7     | 476 G               |
| 726                                                             | BACHELOR OF COMMERCE:<br>INTERNATIONAL<br>TRADE AND MARKETING | 17      | UNIVERSITY OF NORTH WEST                  | FSB0291 | 0     | 0 G                 |
| 35                                                              | BACHELOR OF COMMERCE:<br>INVESTMENT<br>MANAGEMENT             | 5       | UNIVERSITY OF PRETORIA                    | 16386   | 6     | 473 G               |
| 35                                                              | BACHELOR OF COMMERCE:<br>INVESTMENT<br>MANAGEMENT             | 13      | RAU                                       | 73777   | 7     | 432 G               |
| 994                                                             | BACHELOR OF COMMERCE:<br>INVESTMENT<br>MANAGEMENT AND BANKING | 11      | UNIVERSITY OF FREE STATE                  | FSB0351 | 0     | 0 G                 |
| 164                                                             | BACHELOR OF COMMERCE: LAW                                     | 11      | UNIVERSITY OF FREE STATE                  | 16909   | 6     | 360 G               |
| 164                                                             | BACHELOR OF COMMERCE: LAW                                     | 15      | UNIVERSITY OF WESTERN CAPE                | 86172   | 7     | 375 G               |
| 164                                                             | BACHELOR OF COMMERCE: LAW                                     | 77      | INDEPENDENT INSTITUTE OF EDUCATION        | 93729   | 7     | 376 G               |
| 164                                                             | BACHELOR OF COMMERCE: LAW                                     | 335     | PEARSON INSTITUTE OF HIGHER EDUCATION     | 86306   | 7     | 403 G               |
| 164                                                             | BACHELOR OF COMMERCE: LAW                                     | 360     | NORTH WEST UNIVERSITY                     | 100948  | 7     | 360 G               |
| 264                                                             | BACHELOR OF COMMERCE: LAW                                     | 8       | UNIVERSITY OF JOHANNESBURG                | 73778   | 6     | 448 G               |
| 264                                                             | BACHELOR OF COMMERCE: LAW                                     | 10      | UNIVERSITY OF STELLENBOSCH                | 15594   | 6     | 369 G               |
| 264                                                             | BACHELOR OF COMMERCE: LAW                                     | 339     | BOSTON CITY CAMPUS                        | 104712  | 7     | 360 G               |
| 643                                                             | BACHELOR OF COMMERCE: LAW                                     | 1       | UNISA                                     | FSB0362 | 0     | 0 G                 |
| 643                                                             | BACHELOR OF COMMERCE: LAW                                     | 12      | UNIVERSITY OF KWAZULU-NATAL               | FSB0411 | 0     | 0 G                 |
| 643                                                             | BACHELOR OF COMMERCE: LAW                                     | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY | FSB0453 | 0     | 0 G                 |



| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                          |         |                             |         |       |         |             |
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| No                                                              | Qualification title                                                      | Inst No | Institution                 | SAQA ID | Level | Credits | Recognition |
| 1119                                                            | BACHELOR OF COMMERCE:<br>LOGISTICS                                       | 1       | UNISA                       | FSB0475 | 0     | 0       | G           |
| 419                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT                                      | 1       | UNISA                       | 5563    | 6     | 384     | G           |
| 419                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT                                      | 80      | LYCEUM COLLEGE              | 80286   | 7     | 432     | G           |
| 898                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT                                      | 238     | UNIVERSITY OF DUBLIN        | FSB0254 | 0     | 0       | G           |
| 898                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT                                      | 328     | THE UNIVERSITY OF SWAZILAND | FSB0431 | 0     | 0       | G           |
| 1105                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT<br>MARKETING                         | 339     | BOSTON CITY CAMPUS          | 88683   | 7     | 374     | G           |
| 127                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT<br>SCIENCES                          | 10      | UNIVERSITY OF STELLENBOSCH  | 19587   | 6     | 370     | G           |
| 915                                                             | BACHELOR OF COMMERCE:<br>MANAGEMENT,<br>MARKETING                        | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0266 | 0     | 0       | G           |
| 1094                                                            | BACHELOR OF COMMERCE:<br>MANAGEMENT, INFORMATION<br>SYSTEMS & TECHNOLOGY | 12      | UNIVERSITY OF KWAZULU-NATAL | FSB0454 | 0     | 0       | G           |
| 996                                                             | BACHELOR OF COMMERCE:<br>MANPOWER<br>STRATEGY AND LABOUR<br>RELATIONS    | 8       | UNIVERSITY OF JOHANNESBURG  | FSB0344 | 6     | 360     | G           |

| ANNEXURE 2                                        |                                                          |         |                                              |         |       |         |             |
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| QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                          |         |                                              |         |       |         |             |
| No                                                | Qualification title                                      | Inst No | Institution                                  | SAQA ID | Level | Credits | Recognition |
| 912                                               | BACHELOR OF COMMERCE: MARKETING MANAGEMENT               | 8       | UNIVERSITY OF JOHANNESBURG                   | 73780   | 7     | 432     | G           |
| 912                                               | BACHELOR OF COMMERCE: MARKETING MANAGEMENT               | 76      | MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA) | 96745   | 7     | 360     | G           |
| 869                                               | BACHELOR OF COMMERCE: MARKETING MANAGEMENT               | 17      | UNIVERSITY OF NORTH WEST                     | FSB0484 | 0     | 0       | G           |
| 869                                               | BACHELOR OF COMMERCE: MARKETING MANAGEMENT               | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY       | FSB0568 | 0     | 0       | G           |
| 1018                                              | BACHELOR OF COMMERCE: MATHEMATICAL SCIENCES              | 10      | UNIVERSITY OF STELLENBOSCH                   | FSB0368 | 1     | 1       | G           |
| 956                                               | BACHELOR OF COMMERCE: PHILOSOPHY, POLITICS AND ECONOMICS | 9       | UNIVERSITY OF CAPE TOWN                      | 15170   | 7     | 432     | G           |
| 1032                                              | BACHELOR OF COMMERCE: RATIONUM                           | 14      | UNIVERSITY OF PORT ELIZABETH                 | 91998   | 7     | 480     | G           |
| 664                                               | BACHELOR OF COMMERCE: RECREATION AND SPORT MANAGEMENT    | 5       | UNIVERSITY OF PRETORIA                       | 7103    | 0     | 0       | G           |
| 664                                               | BACHELOR OF COMMERCE: RECREATION AND SPORT MANAGEMENT    | 17      | UNIVERSITY OF NORTH WEST                     | FSB0278 | 0     | 0       | G           |
| 890                                               | BACHELOR OF COMMERCE: RETAIL MANAGEMENT                  | 1       | UNISA                                        | FSB0246 | 0     | 0       | G           |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                 |         |                          |         |       |         |             |
|-----------------------------------------------------------------|-----------------------------------------------------------------|---------|--------------------------|---------|-------|---------|-------------|
| No                                                              | Qualification title                                             | Inst No | Institution              | SAQA ID | Level | Credits | Recognition |
| 493                                                             | BACHELOR OF COMMERCE: RISK MANAGEMENT                           | 1       | UNISA                    | 5563    | 7     | 384     | G           |
| 934                                                             | BACHELOR OF COMMERCE: STATISTICS                                | 5       | UNIVERSITY OF PRETORIA   | 7109    | 7     | 410     | G           |
| 1048                                                            | BACHELOR OF COMMERCE: STRATEGIC SUPPLY MANAGEMENT               | 1       | UNISA                    | FSB0395 | 0     | 0       | G           |
| 1120                                                            | BACHELOR OF COMMERCE: SUPPLY CHAIN MANAGEMENT                   | 5       | UNIVERSITY OF PRETORIA   | FSB0476 | 0     | 0       | G           |
| 619                                                             | BACHELOR OF COMMERCE: TOURISM MANAGEMENT                        | 5       | UNIVERSITY OF PRETORIA   | 7113    | 6     | 426     | G           |
| 928                                                             | BACHELOR OF ECONOMICS                                           | 186     | RHODES UNIVERSITY        | 7999    | 7     | 360     | G           |
| 1026                                                            | BACHELOR OF ECONOMICS                                           | 300     | UNIVERSITY OF NAMIBIA    | FSB0375 | 0     | 0       | G           |
| 1065                                                            | BACHELOR OF ECONOMICS: BUSINESS SCIENCES                        | 19      | UNIVERSITY OF FORT HARE  | FSB0413 | 0     | 0       | G           |
| 916                                                             | BACHELOR OF FINANCE                                             | 242     | LA TROBE UNIVERSITY      | FSB0267 | 0     | 0       | G           |
| 921                                                             | BACHELOR OF LAW (LLB)                                           | 11      | UNIVERSITY OF FREE STATE | 8861    | 8     | 752     | G           |
| 921                                                             | BACHELOR OF LAW (LLB)                                           | 17      | UNIVERSITY OF NORTH WEST | 73057   | 7     | 480     | G           |
| 950                                                             | BACHELOR OF LAW AND BUSINESS ADMINISTRATION: MARKETING          | 259     | INTERDISCIPLINARY CENTER | FSB0303 | 0     | 0       | G           |
| 911                                                             | BACHELOR OF LAW: B.JURIS WITH ENDORSEMENT IN FINANCIAL PLANNING | 11      | UNIVERSITY OF FREE STATE | 8877    | 7     | 480     | G           |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                     |         |                                                            |         |       |                     |
|-----------------------------------------------------------------|-----------------------------------------------------|---------|------------------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                 | Inst No | Institution                                                | SAQA ID | Level | Credits Recognition |
| 1062                                                            | BACHELOR OF LAW: CANON AND CIVIL                    | 318     | NATIONAL UNIVERSITY OF IRELAND                             | FSB0409 | 0     | 0 G                 |
| 1231                                                            | BACHELOR OF LAWS                                    | 35      | UNIVERSITY OF BRISTOL                                      | FSB0638 | 0     | 0 G                 |
| 871                                                             | BACHELOR OF LAWS                                    | 173     | UNIVERSITY OF LONDON                                       | FSB0385 | 0     | 0 G                 |
| 871                                                             | BACHELOR OF LAWS                                    | 220     | THE NATIONAL UNIVERSITY OF LESOTHO                         | FSB0226 | 0     | 0 G                 |
| 871                                                             | BACHELOR OF LAWS                                    | 371     | UNIVERSITY OF RHODESIA                                     | FSB0516 | 0     | 0 G                 |
| 871                                                             | BACHELOR OF LAWS                                    | 416     | UNIVERSITY OF LEICESTER                                    | FSB0618 | 0     | 0 G                 |
| 677                                                             | BACHELOR OF LAWS (LLB)                              | 1       | UNISA                                                      | 6009    | 7     | 480 G               |
| 677                                                             | BACHELOR OF LAWS (LLB)                              | 5       | UNIVERSITY OF PRETORIA                                     | 6875    | 6     | 528 G               |
| 677                                                             | BACHELOR OF LAWS (LLB)                              | 10      | UNIVERSITY OF STELLENBOSCH                                 | 7355    | 7     | 480 G               |
| 677                                                             | BACHELOR OF LAWS (LLB)                              | 19      | UNIVERSITY OF FORT HARE                                    | 15577   | 7     | 144 G               |
| 677                                                             | BACHELOR OF LAWS (LLB)                              | 193     | SGB LEGAL EDUCATION AND TRAINING                           | 22993   | 7     | 480 G               |
| 1220                                                            | BACHELOR OF LITERATURE: ENGLISH                     | 396     | ANHUI UNIVERSITY OF TECHNOLOGY                             | FSB0563 | 0     | 0 G                 |
| 1282                                                            | BACHELOR OF MANAGEMENT SCIENCES: INTERNAL AUDITING  | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE                | 101959  | 8     | 480 G               |
| 1076                                                            | BACHELOR OF PERSONNEL LEADERSHIP                    | 11      | UNIVERSITY OF FREE STATE                                   | FSB0432 | 0     | 0 G                 |
| 1238                                                            | BACHELOR OF PUBLIC MANAGEMENT: ECONOMIC POLICY      | 11      | UNIVERSITY OF FREE STATE                                   | FSB0582 | 0     | 0 G                 |
| 1036                                                            | BACHELOR OF SCIENCE                                 | 343     | QUEEN'S UNIVERSITY BELFAST                                 | FSB0468 | 0     | 0 G                 |
| 1143                                                            | BACHELOR OF SCIENCE HONOURS: MANAGEMENT AND SYSTEMS | 363     | THE CITY UNIVERSITY, LONDON, SIR JOHN CASS BUSINESS SCHOOL | FSB0492 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                                                     |         |                                      |         |       |         |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|---------|--------------------------------------|---------|-------|---------|
| No                                                              | Qualification title                                                                                 | Inst No | Institution                          | SAQA ID | Level | Credits |
| 1233                                                            | BACHELOR OF SCIENCE IN QUANTITATIVE RISK MANAGEMENT                                                 | 360     | NORTH WEST UNIVERSITY                | FSB0578 | 0     | 0       |
| 1099                                                            | BACHELOR OF SCIENCE: ACTUARIAL SCIENCE                                                              | 8       | UNIVERSITY OF JOHANNESBURG           | FSB0459 | 0     | 0       |
| 1138                                                            | BACHELOR OF SCIENCE: FINANCIAL MATHEMATICS                                                          | 360     | NORTH WEST UNIVERSITY                | FSB0487 | 0     | 0       |
| 1242                                                            | BACHELOR OF SCIENCE: INTERNATIONAL BUSINESS                                                         | 404     | UNIVERSITY OF BUCKINGHAM             | FSB0585 | 0     | 0       |
| 1170                                                            | BACHELOR OF SCIENCE: PROPERTY STUDIES                                                               | 7       | UNIVERSITY OF THE WITWATERSRAND      | FSB0515 | 0     | 0       |
| 1096                                                            | BACHELOR OF SCIENCE: AGRICULTURE                                                                    | 177     | UNIVERSITY OF NATAL                  | 78125   | 8     | 512     |
| 768                                                             | BACHELOR OF SCIENCE: APPLIED ECONOMICS                                                              | 226     | HOFSTRA UNIVERSITY (NEW YORK)        | FSB0242 | 0     | 0       |
| 828                                                             | BACHELOR OF SCIENCE: BUSINESS ADMINISTRATION                                                        | 401     | THE GEORGIA INSTITUTE OF TECHNOLOGY  | FSB0573 | 0     | 0       |
| 910                                                             | BACHELOR OF SCIENCE: BUSINESS ADMINISTRATION: HUMAN RESOURCE MANAGEMENT                             | 241     | CALIFORNIA STATE UNIVERSITY, HAYWARD | FSB0264 | 0     | 0       |
| 512                                                             | BACHELOR OF SCIENCE: INFORMATION TECHNOLOGY AND COMPUTER SCIENCE: INFORMATION TECHNOLOGY MANAGEMENT | 1       | UNISA                                | FSB0290 | 0     | 0       |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                                  |         |                                        |         |       |                     |
|-----------------------------------------------------------------|----------------------------------------------------------------------------------|---------|----------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                                              | Inst No | Institution                            | SAQA ID | Level | Credits/Recognition |
| 919                                                             | BACHELOR OF SCIENCE: MATHEMATICAL SCIENCE WITH ENDORSEMENT FINANCIAL MATHEMATICS | 8       | UNIVERSITY OF JOHANNESBURG             | 80374   | 7     | 360 G               |
| 1069                                                            | BACHELOR OF SCIENCE: MATHEMATICAL STATISTICS                                     | 5       | UNIVERSITY OF PRETORIA                 | FSB0415 | 0     | 0 G                 |
| 1021                                                            | BACHELOR OF SCIENCE: MATHEMATICS AND MANAGEMENT                                  | 173     | UNIVERSITY OF LONDON                   | FSB0370 | 0     | 0 G                 |
| 785                                                             | BACHELOR OF SCIENCE: OPERATIONS RESEARCH AND INFORMATION SYSTEMS                 | 1       | UNISA                                  | 6059    | 7     | 360 G               |
| 1136                                                            | BACHELOR OF SCIENCE: QUANTITY SURVEYING                                          | 357     | SGB QUANTITY SURVEYING                 | 49094   | 7     | 360 G               |
| 650                                                             | BACHELOR OF SCIENCE: STATISTICS AND ECONOMICS                                    | 9       | UNIVERSITY OF CAPE TOWN                | FSB0372 | 0     | 0 G                 |
| 1050                                                            | BACHELOR OF SOCIAL SCIENCE: ECONOMICS                                            | 9       | UNIVERSITY OF CAPE TOWN                | FSB0399 | 0     | 0 G                 |
| 1089                                                            | BACHELOR OF SOCIAL WORK AND ADMINISTRATION                                       | 158     | MAKERERE UNIVERSITY, UGANDA            | FSB0449 | 0     | 0 G                 |
| 899                                                             | BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING                           | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY | 87079   | 7     | 480 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                        |         |                                             |         |       |                     |
|-----------------------------------------------------------------|--------------------------------------------------------|---------|---------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                    | Inst No | Institution                                 | SAQA ID | Level | Credits/Recognition |
| 899                                                             | BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 72382   | 7     | 480 G               |
| 899                                                             | BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING | 36      | CAPE PENINSULA UNIVERSITY TECHNOLOGY        | 78689   | 7     | 480 G               |
| 899                                                             | BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING | 199     | WALTER SISULU UNIVERSITY                    | 80131   | 7     | 480 G               |
| 899                                                             | BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING | 279     | MANGOSUTHU UNIVERSITY OF TECHNOLOGY         | 72278   | 7     | 480 G               |
| 1101                                                            | BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS  | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY      | 87086   | 7     | 480 G               |
| 1101                                                            | BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS  | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE | 65297   | 7     | 480 G               |
| 1101                                                            | BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS  | 360     | NORTH WEST UNIVERSITY                       | 87086   | 7     | 120 G               |
| 1088                                                            | BACHELOR OF TECHNOLOGY: BUSINESS ADMINISTRATION        | 1       | UNISA                                       | 62311   | 7     | 480 G               |
| 845                                                             | BACHELOR OF TECHNOLOGY: ECONOMIC MANAGEMENT ANALYSIS   | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 72387   | 7     | 480 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                         |         |                                                 |         |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------------------------------|---------|-------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                                     | Inst No | Institution                                     | SAQA ID | Level | Credits/Recognition |
| 142                                                             | BACHELOR OF TECHNOLOGY: INTERNAL AUDITING                               | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY          | 87093   | 7     | 480 G               |
| 142                                                             | BACHELOR OF TECHNOLOGY: INTERNAL AUDITING                               | 86      | Vaal University of Technology                   | 72684   | 7     | 480 G               |
| 623                                                             | BACHELOR OF TECHNOLOGY: MANAGEMENT                                      | 1       | UNISA                                           | 62335   | 7     | 480 G               |
| 1198                                                            | BETRIEBSÖKONOM FH                                                       | 390     | ZÜRCHER FACHHOCHSCHULE                          | FSB0546 | 0     | 0 G                 |
| 614                                                             | CERTIFICATE IN SECURITIES AND INVESTMENTS                               | 85      | CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT | FSB072  | 0     | 0 G                 |
| 1016                                                            | CERTIFICATE OF RDR COMPLIANT QUALIFICATIONS                             | 85      | CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT | FSB0364 | 0     | 0 G                 |
| 865                                                             | CERTIFICATE: CERTIFIED ACCOUNTING TECHNICIAN                            | 218     | ASSOCIATION OF CHARTERED CERTIFIED ACCOUNTANTS  | 20397   | 5     | 390 G               |
| 866                                                             | CERTIFICATE: CHARTERED CERTIFIED ACCOUNTANT                             | 218     | ASSOCIATION OF CHARTERED CERTIFIED ACCOUNTANTS  | 63550   | 8     | 915 G               |
| 39                                                              | CERTIFICATE: FINANCIAL PLANNING/ HIGHER CERTIFICATE: FINANCIAL PLANNING | 4       | MILPARK BUSINESS SCHOOL                         | 62810   | 5     | 120 G               |
| 897                                                             | CERTIFICATE: THEORY OF ACCOUNTANCY                                      | 12      | UNIVERSITY OF KWAZULU-NATAL                     | FSB0253 | 0     | 0 G                 |
| 1270                                                            | CERTIFICATE: PROGRAMME FOR MANAGEMENT DEVELOPMENT                       | 5       | UNIVERSITY OF PRETORIA                          | FSB0603 | 0     | 0 G                 |
| 573                                                             | CERTIFIED INTERNAL AUDITOR (CIA)                                        | 89      | INSTITUTE OF INTERNAL AUDITORS                  | FSB 083 | 0     | 0 G                 |



| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                            |         |                                                 |         |       |                     |
|-----------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                        | Inst No | Institution                                     | SAQA ID | Level | Credits/Recognition |
| 412                                                             | CHARTERED FINANCIAL ANALYST (CFA)(FOREIGN QUALIFICATION)   | 98      | CFA INSTITUTE                                   | FSB001  | 0     | 0 G                 |
| 1261                                                            | CII LEVEL 4 DIPLOMA IN FINANCIAL PLANNING(QCF)             | 61      | CHARTERED INSURANCE INSTITUTE                   | FSB0598 | 0     | 0 G                 |
| 1196                                                            | CII LEVEL 6 ADVANCED DIPLOMA: FINANCIAL PLANNING (QCF)     | 61      | CHARTERED INSURANCE INSTITUTE                   | FSB0584 | 0     | 0 G                 |
| 1271                                                            | CISI LEVEL 6 DIPLOMA: INVESTMENT COMPLIANCE                | 85      | CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT | FSB0604 | 0     | 0 G                 |
| 906                                                             | COURSE IN COMPLIANCE MANAGEMENT                            | 9       | UNIVERSITY OF CAPE TOWN                         | FSB0262 | 0     | 0 G                 |
| 864                                                             | DEGREE OF CERTIFIED BANKING MANAGER LEVEL 2 (BANKFACHWIRT) | 224     | FRANKFURT SCHOOL OF MANAGEMENT(GERMANY)         | FSB0234 | 0     | 0 G                 |
| 1215                                                            | DIPLOMA IN COMMERCE: BUSINESS MANAGEMENT                   | 77      | INDEPENDENT INSTITUTE OF EDUCATION              | 84787   | 6     | 364 G               |
| 843                                                             | DIPLOMA IN MANAGEMENT STUDIES                              | 76      | MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)    | 36084   | 7     | 240 G               |
| 1221                                                            | DIPLOMA: FINANCIAL MANAGEMENT                              | 162     | REGENT BUSINESS SCHOOL                          | 97561   | 6     | 360 G               |
| 1052                                                            | DIPLOMA: ACCOUNTANCY                                       | 8       | UNIVERSITY OF JOHANNESBURG                      | 90506   | 6     | 368 G               |
| 1121                                                            | DIPLOMA: ACCOUNTING AND FINANCIAL COMPUTING                | 77      | INDEPENDENT INSTITUTE OF EDUCATION              | 58667   | 5     | 240 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                   |         |                                                    |         |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------------------------|---------|----------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                               | Inst No | Institution                                        | SAQA ID | Level | Credits/Recognition |
| 981                                                             | DIPLOMA: ADVANCED BUSINESS MANAGEMENT: ENTERPRISE RISK MANAGEMENT | 8       | UNIVERSITY OF JOHANNESBURG                         | 77044   | 6     | 240 G               |
| 228                                                             | DIPLOMA: BANKING                                                  | 4       | MILPARK BUSINESS SCHOOL                            | FSB0233 | 0     | 0 G                 |
| 566                                                             | DIPLOMA: BANKING                                                  | 1       | UNISA                                              | 14373   | 6     | 360 G               |
| 939                                                             | DIPLOMA: FINANCIAL ACCOUNTING                                     | 47      | DAMELIN                                            | 66992   | 6     | 360 G               |
| 939                                                             | DIPLOMA: FINANCIAL ACCOUNTING                                     | 339     | BOSTON CITY CAMPUS                                 | 93597   | 6     | 374 G               |
| 615                                                             | DIPLOMA: FINANCIAL MANAGEMENT                                     | 138     | THE CHARTERED ASSOCIATION OF CERTIFIED ACCOUNTANTS | FSB073  | 0     | 0 G                 |
| 762                                                             | DIPLOMA: INVESTMENT ADVICE LEVEL 4                                | 85      | CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT    | FSB0243 | 0     | 0 G                 |
| 1113                                                            | DIPLOMA: MANAGEMENT                                               | 345     | HENLEY MANAGEMENT COLLEGE                          | FSB0470 | 0     | 0 G                 |
| 345                                                             | DIPLOMA: MARKETING MANAGEMENT                                     | 101     | IMM GRADUATE SCHOOL OF MARKETING                   | 79546   | 6     | 360 G               |
| 1045                                                            | DIPLOMA: MUNICIPAL MANAGEMENT                                     | 306     | MOSCOW STATE HUMANITIES UNIVERSITY                 | FSB0393 | 0     | 0 G                 |
| 1183                                                            | DIPLOME DE L'ECOLE DE MANAGEMENT LÉONARD DE VINCI                 | 378     | ÉCOLE DE MANAGEMENT LÉONARD DE VINCI (EMLV)        | FSB0532 | 0     | 0 G                 |
| 686                                                             | DIPLOME DE LICENCE EN ECONOMIE ET DEVELOPPEMENT                   | 245     | FACULTES CATHOLIQUES DE KINSHASA                   | FSB0271 | 0     | 0 G                 |
| 920                                                             | DIPLOME DE LICENCE EN SCIENCES ET TECHNIQUES DE DEVELOPPEMENT     | 245     | FACULTES CATHOLIQUES DE KINSHASA                   | FSB0272 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                         |         |                                                                       |         |       |         |
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| No                                                              | Qualification title                                                     | Inst No | Institution                                                           | SAQA ID | Level | Credits |
| 894                                                             | DIPLOMPRUFUNG FÜR KAUFLEUTE                                             | 236     | UNIVERSITY OF WÜRZBURG                                                | FSB0251 | 0     | 0       |
| 896                                                             | DOCTOR OF JURISPRUDENCE                                                 | 237     | STANFORD UNIVERSITY                                                   | FSB0252 | 0     | 0       |
| 1222                                                            | DOTTORATO IN ECONOMIA E COMMERCIO                                       | 398     | UNIVERSITA DEGLI STUDI DI URBINO                                      | FSB0565 | 0     | 0       |
| 1072                                                            | EXECUTIVE DEVELOPMENT PROGRAM                                           | 1       | UNISA                                                                 | FSB0418 | 0     | 0       |
| 1001                                                            | FAHIGKEITSZEUGNIS                                                       | 287     | KV ZÜRICH BUSINESS SCHOOL                                             | FSB0349 | 0     | 0       |
| 469                                                             | FELLOW OF ACTUARIAL SOCIETY OF SOUTH AFRICA (FASSA)                     | 118     | ACTUARIAL SOCIETY OF SOUTH AFRICA                                     | FSB 062 | 8     | 0       |
| 1114                                                            | FELLOW OF THE INSTITUTE OF ACTUARIES                                    | 346     | INSTITUTE AND FACULTY OF ACTUARIES                                    | FSB0471 | 0     | 0       |
| 940                                                             | FURTHER DIPLOMA IN EDUCATION: COMMERCE                                  | 36      | CAPE PENINSULA UNIVERSITY TECHNOLOGY                                  | FSB0293 | 0     | 0       |
| 836                                                             | GRADUATE CERTIFICATE: BUSINESS MANAGEMENT "Betriebswirt VWA Dipl. -inh" | 196     | THE ACADEMY OF BUSINESS ADMINISTRATION AND PUBLIC MANAGEMENT SAARLAND | FSB0206 | 0     | 0       |
| 1210                                                            | HIGHER CERTIFICATE: ACCOUNTING                                          | 162     | RECENT BUSINESS SCHOOL                                                | 73493   | 5     | 120     |
| 937                                                             | HIGHER CERTIFICATE: BANKING                                             | 1       | UNISA                                                                 | 84286   | 5     | 120     |
| 925                                                             | HIGHER CERTIFICATE: INVESTMENT ADMINISTRATION                           | 4       | MILPARK BUSINESS SCHOOL                                               | 93949   | 5     | 120     |
| 842                                                             | HIGHER CERTIFICATE: WEALTH MANAGEMENT                                   | 198     | PSG KONSULT LIMITED                                                   | 83346   | 5     | 120     |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                                 |         |                                                   |         |       |                     |
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| No                                                              | Qualification title                                                             | Inst No | Institution                                       | SAQA ID | Level | Credits/Recognition |
| 842                                                             | HIGHER CERTIFICATE: WEALTH MANAGEMENT                                           | 265     | MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD | 83346   | 5     | 120 G               |
| 564                                                             | HIGHER DIPLOMA IN EDUCATION - COMMERCE                                          | 10      | UNIVERSITY OF STELLENBOSCH                        | FSB 082 | 6     | 480 G               |
| 1106                                                            | ICA INTERNATIONAL DIPLOMA: ANTI MONEY LAUNDERING                                | 116     | INTERNATIONAL COMPLIANCE ASSOCIATION              | FSB0464 | 0     | 0 G                 |
| 1080                                                            | ICA PROFESSIONAL POSTGRADUATE DIPLOMA IN GOVERNANCE, RISK AND COMPLIANCE        | 327     | THE UNIVERSITY OF MANCHESTER                      | FSB0428 | 0     | 0 G                 |
| 543                                                             | ILPA                                                                            | 163     | ILPA                                              | FSB0119 | 0     | 0 G                 |
| 159                                                             | INTERNATIONAL DIPLOMA IN COMPLIANCE                                             | 116     | INTERNATIONAL COMPLIANCE ASSOCIATION              | FSB 010 | 0     | 0 G                 |
| 1057                                                            | INVESTMENT ADMINISTRATION QUALIFICATION                                         | 316     | SECURITIES INSTITUTE                              | FSB0406 | 0     | 0 G                 |
| 1002                                                            | JURIS DOCTOR                                                                    | 226     | HOFSTRA UNIVERSITY (NEW YORK)                     | FSB0350 | 0     | 0 G                 |
| 1037                                                            | LICENCE EN DROIT                                                                | 261     | THE UNIVERSITY OF YAOUNDE II                      | FSB0386 | 0     | 0 G                 |
| 1092                                                            | LICENTATE DIPLOMA: TRUSTEE                                                      | 3       | INSTITUTE OF BANKERS                              | FSB0451 | 0     | 0 G                 |
| 888                                                             | LICENTATE IN BUSINESS ADMINISTRATION/ ECONOMICS                                 | 225     | UNIVERSITY OF ST. GALLEN                          | FSB0241 | 0     | 0 G                 |
| 756                                                             | LICENTIATUS RERUM POLITICARUM BETRIEBSWIRTSCHAFT                                | 247     | UNIVERSITÄT BERN                                  | FSB0275 | 0     | 0 G                 |
| 974                                                             | MAGISTRA DER SOZIAL-UND WIRTSCHAFTS-WISSENSCHAFTEN: SOCIAL AND ECONOMIC SCIENCE | 275     | UNIVERSITÄT WIEN                                  | FSB0327 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                  |         |                                        |         |       |                     |
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| No                                                              | Qualification title                                              | Inst No | Institution                            | SAQA ID | Level | Credits Recognition |
| 1262                                                            | MAITRISE DE SCIENCES DE GESTION (MASTERS IN MANAGEMENT SCIENCES) | 410     | UNIVERSITE PARIS X NANTERRE            | FSB0599 | 0     | 0 G                 |
| 1070                                                            | MANAGEMENT DEVELOPMENT DIPLOMA PROGRAMME                         | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY | FSB0416 | 6     | 242 G               |
| 1129                                                            | MASTER OF APPLIED FINANCE                                        | 353     | MACQUARIE UNIVERSITY                   | FSB0482 | 0     | 0 G                 |
| 995                                                             | MASTER OF ARTS                                                   | 305     | MCGILL UNIVERSITY                      | FSB0474 | 0     | 0 G                 |
| 995                                                             | MASTER OF ARTS                                                   | 369     | WESTERN KENTUCKY UNIVERSITY            | FSB0507 | 0     | 0 G                 |
| 1019                                                            | MASTER OF BUSINESS ADMINISTRATION                                | 252     | REGENESYS BUSINESS SCHOOL              | 97886   | 9     | 225 G               |
| 1019                                                            | MASTER OF BUSINESS ADMINISTRATION                                | 360     | NORTH WEST UNIVERSITY                  | 94938   | 9     | 210 G               |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 22      | UNIVERSITY OF ZIMBABWE                 | FSB0442 | 0     | 0 G                 |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 23      | UNIVERSITY OF LIVERPOOL                | FSB0277 | 0     | 0 G                 |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 237     | STANFORD UNIVERSITY                    | FSB0496 | 0     | 0 G                 |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 263     | UNIVERSITY OF CAMBRIDGE                | FSB0435 | 9     | 180 G               |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 277     | SOUTHERN METHODIST UNIVERSITY          | FSB0331 | 0     | 0 G                 |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 295     | UNIVERSITY OF NAIROBI                  | FSB0430 | 0     | 0 G                 |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION                                | 312     | VANDERBILT UNIVERSITY                  | FSB0402 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                   |         |                                                   |         |       |         |
|-----------------------------------------------------------------|-----------------------------------|---------|---------------------------------------------------|---------|-------|---------|
| No                                                              | Qualification title               | Inst No | Institution                                       | SAQA ID | Level | Credits |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 320     | IE BUSINESS SCHOOL                                | FSB0414 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 321     | TEL AVIV UNIVERSITY                               | FSB0417 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 329     | STATE UNIVERSITY OF NEW YORK                      | FSB0426 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 351     | DE MONTFORT UNIVERSITY                            | FSB0481 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 372     | COLUMBIA UNIVERSITY                               | FSB0524 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 373     | UNIVERSITY OF CHICAGO                             | FSB0526 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 381     | BUCKINGHAMSHIRE CHILTERN UNIVERSITY COLLEGE       | FSB0533 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 383     | BARUCH COLLEGE OF THE CITY UNIVERSITY OF NEW YORK | FSB0536 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 384     | SAINT MARY'S UNIVERSITY                           | FSB0538 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 397     | PEKING UNIVERSITY                                 | FSB0564 | 0     | 0       |
| 931                                                             | MASTER OF BUSINESS ADMINISTRATION | 409     | BENTLEY COLLEGE                                   | FSB0594 | 0     | 0       |
| 504                                                             | MASTER OF BUSINESS ADMINISTRATION | 162     | REGENT BUSINESS SCHOOL                            | 73297   | 8     | 300     |
| 504                                                             | MASTER OF BUSINESS ADMINISTRATION | 201     | HENLEY BUSINESS SCHOOL                            | 65089   | 8     | 180     |
| 504                                                             | MASTER OF BUSINESS ADMINISTRATION | 252     | REGENESYS BUSINESS SCHOOL                         | 58185   | 8     | 240     |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                               |         |                                     |         |       |                     |
|-----------------------------------------------------------------|---------------------------------------------------------------|---------|-------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                           | Inst No | Institution                         | SAQA ID | Level | Credits/Recognition |
| 504                                                             | MASTER OF BUSINESS ADMINISTRATION                             | 310     | HARVARD UNIVERSITY                  | 96395   | 9     | 210 G               |
| 763                                                             | MASTER OF BUSINESS ADMINISTRATION (OXFORD BROOKES UNIVERSITY) | 205     | BOND UNIVERSITY                     | FSB0217 | 0     | 0 G                 |
| 980                                                             | MASTER OF BUSINESS ADMINISTRATION: GENERAL                    | 162     | REGENT BUSINESS SCHOOL              | 73297   | 8     | 300 G               |
| 580                                                             | MASTER OF BUSINESS LEADERSHIP                                 | 1       | UNISA                               | 5789    | 8     | 360 G               |
| 193                                                             | MASTER OF BUSINESS MANAGEMENT AND ADMINISTRATION              | 10      | UNIVERSITY OF STELLENBOSCH          | 19608   | 8     | 120 G               |
| 1157                                                            | MASTER OF COMMERCE: FINANCIAL MANAGEMENT                      | 9       | UNIVERSITY OF CAPE TOWN             | 5107    | 9     | 120 G               |
| 1307                                                            | MASTER OF INTERNATIONAL BUSINESS                              | 424     | DEVI AHILYA VISHWAVIDYALAYA, INDORE | FSB0636 | 0     | 0 G                 |
| 1311                                                            | MASTER OF MANAGEMENT IN FINANCE AND INVESTMENT                | 7       | UNIVERSITY OF THE WITWATERSRAND     | 97752   | 9     | 190 G               |
| 589                                                             | MASTER OF SCIENCE IN INVESTMENT MANAGEMENT                    | 87      | THE CITY BUSINESS SCHOOL LONDON     | FSB0109 | 0     | 0 G                 |
| 1079                                                            | MASTER OF SCIENCE: BUSINESS MANAGEMENT                        | 325     | EDINBURGH NAPIER UNIVERSITY         | FSB0425 | 0     | 0 G                 |
| 802                                                             | MASTER OF SCIENCE: FINANCIAL MANAGEMENT                       | 173     | UNIVERSITY OF LONDON                | FSB0126 | 0     | 0 G                 |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                             |         |                                             |             |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------------------|---------|---------------------------------------------|-------------|-------|---------------------|
| No                                                              | Qualification title                                         | Inst No | Institution                                 | SAQA ID     | Level | Credits/Recognition |
| 1033                                                            | MASTER OF SCIENCE: INSURANCE AND RISK MANAGEMENT            | 290     | CITY UNIVERSITY LONDON                      | FSB0382     | 0     | 0 G                 |
| 463                                                             | MASTER OF TECHNOLOGY: BUSINESS ADMINISTRATION               | 26      | PORT ELIZABETH TECHNIKON                    | 87185       | 8     | 120 G               |
| 770                                                             | MASTERS OF BUSINESS ADMINISTRATION (Heriot-Watt University) | 154     | HERIOT-WATT UNIVERSITY                      | FSB0093     | 0     | 0 G                 |
| 487                                                             | NATIONAL DIPLOMA: COMMERCIAL PRACTICE                       | 37      | DURBAN UNIVERSITY OF TECHNOLOGY             | 72219       | 6     | 360 G               |
| 1243                                                            | NATIONAL CERTIFICATE: MARKETING                             | 8       | UNIVERSITY OF JOHANNESBURG                  | FSB0586     | 0     | 0 G                 |
| 22                                                              | NATIONAL CERTIFICATE: WEALTH MANAGEMENT                     | 188     | SGB INSURANCE AND INVESTMENT                | 57608/66611 | 5     | 120 G               |
| 1287                                                            | NATIONAL DIPLOMA: ENGINEERING: INDUSTRIAL                   | 86      | VAAL UNIVERSITY OF TECHNOLOGY               | 72728       | 6     | 360 G               |
| 120                                                             | NATIONAL DIPLOMA MARKETING AND SALES MANAGEMENT             | 26      | PORT ELIZABETH TECHNIKON                    | FSB0511     | 0     | 0 G                 |
| 1078                                                            | NATIONAL DIPLOMA: ENTREPRENEURSHIP                          | 1       | UNISA                                       | FSB0423     | 0     | 0 G                 |
| 1245                                                            | NATIONAL DIPLOMA: IMPORT AND EXPORT MANAGEMENT              | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE | FSB0587     | 6     | 360 G               |
| 1224                                                            | NATIONAL DIPLOMA: LOGISTICS                                 | 400     | TECHNIKON PRETORIA                          | FSB0569     | 0     | 0 G                 |
| 624                                                             | NATIONAL DIPLOMA: ACCOUNTING                                | 37      | DURBAN UNIVERSITY OF TECHNOLOGY             | 72209       | 6     | 360 G               |
| 685                                                             | NATIONAL DIPLOMA: ACCOUNTING                                | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 72546       | 6     | 360 G               |



| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                             |         |                                                |         |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------------------|---------|------------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                         | Inst No | Institution                                    | SAQA ID | Level | Credits/Recognition |
| 835                                                             | NATIONAL DIPLOMA: ACCOUNTING                                | 8       | UNIVERSITY OF JOHANNESBURG                     | FSB0205 | 6     | 360 G               |
| 835                                                             | NATIONAL DIPLOMA: ACCOUNTING                                | 8       | UNIVERSITY OF JOHANNESBURG                     | FSB0220 | 0     | 0 G                 |
| 627                                                             | NATIONAL DIPLOMA:<br>ADMINISTRATIVE<br>MANAGEMENT FINANCIAL | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY               | 72547   | 6     | 360 G               |
| 1086                                                            | NATIONAL DIPLOMA:<br>ADMINISTRATIVE<br>MANAGEMENT GENERAL   | 1       | UNISA                                          | 62369   | 6     | 360 G               |
| 1031                                                            | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY               | FSB0381 | 0     | 0 G                 |
| 676                                                             | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY      | 87115   | 6     | 360 G               |
| 676                                                             | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE<br>STATE | 65302   | 6     | 360 G               |
| 676                                                             | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 129     | TECHNIKON SA                                   | 62379   | 6     | 360 G               |
| 253                                                             | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 36      | CAPE PENINSULA UNIVERSITY TECHNOLOGY           | 78620   | 6     | 360 G               |
| 253                                                             | NATIONAL DIPLOMA: COST AND<br>MANAGEMENT ACCOUNTING         | 86      | Vaal University of Technology                  | 72723   | 6     | 360 G               |
| 581                                                             | NATIONAL DIPLOMA: CREDIT<br>MANAGEMENT                      | 8       | UNIVERSITY OF JOHANNESBURG                     | 74076   | 6     | 360 G               |
| 975                                                             | NATIONAL DIPLOMA: ECONOMICS                                 | 25      | NELSON MANDELA METROPOLITAN<br>UNIVERSITY      | 71635   | 6     | 375 G               |
| 967                                                             | NATIONAL DIPLOMA: FINANCE AND<br>ACCOUNTING PUBLIC          | 1       | UNISA                                          | 62391   | 6     | 360 G               |
| 967                                                             | NATIONAL DIPLOMA: FINANCE AND<br>ACCOUNTING PUBLIC          | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY               | 72584   | 6     | 360 G               |

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| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                 |         |                                             |         |       |                     |
|-----------------------------------------------------------------|-------------------------------------------------|---------|---------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                             | Inst No | Institution                                 | SAQA ID | Level | Credits/Recognition |
| 987                                                             | NATIONAL DIPLOMA: FINANCE AND ACCOUNTING PUBLIC | 5       | UNIVERSITY OF PRETORIA                      | FSB0337 | 0     | 0 G                 |
| 973                                                             | NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS | 199     | WALTER SISULU UNIVERSITY                    | 80163   | 6     | 360 G               |
| 530                                                             | NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY      | 87122   | 6     | 360 G               |
| 645                                                             | NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS | 37      | DURBAN UNIVERSITY OF TECHNOLOGY             | 72234   | 6     | 360 G               |
| 938                                                             | NATIONAL DIPLOMA: GOVERNMENT FINANCE            | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 72593   | 6     | 360 G               |
| 475                                                             | NATIONAL DIPLOMA: INTERNAL AUDITING             | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY      | 78303   | 6     | 360 G               |
| 475                                                             | NATIONAL DIPLOMA: INTERNAL AUDITING             | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 72600   | 6     | 360 G               |
| 475                                                             | NATIONAL DIPLOMA: INTERNAL AUDITING             | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE | 65327   | 6     | 360 G               |
| 178                                                             | NATIONAL DIPLOMA: INTERNAL AUDITING             | 68      | COMMITTEE OF TECHNIKON PRINCIPALS           | 2165    | 6     | 360 G               |
| 924                                                             | NATIONAL DIPLOMA: MANAGEMENT                    | 1       | UNISA                                       | 62401   | 6     | 360 G               |
| 924                                                             | NATIONAL DIPLOMA: MANAGEMENT                    | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY      | 87130   | 6     | 360 G               |
| 924                                                             | NATIONAL DIPLOMA: MANAGEMENT                    | 34      | TSHWANE UNIVERSITY OF TECHNOLOGY            | 48640   | 6     | 360 G               |
| 725                                                             | NATIONAL DIPLOMA: MARKETING                     | 36      | CAPE PENINSULA UNIVERSITY TECHNOLOGY        | 78649   | 6     | 360 G               |
| 594                                                             | NATIONAL DIPLOMA: RETAIL BUSINESS MANAGEMENT    | 36      | CAPE PENINSULA UNIVERSITY TECHNOLOGY        | 78666   | 6     | 360 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                            |         |                                             |         |       |                     |
|-----------------------------------------------------------------|------------------------------------------------------------|---------|---------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                        | Inst No | Institution                                 | SAQA ID | Level | Credits/Recognition |
| 578                                                             | NATIONAL DIPLOMA: TAXATION                                 | 37      | DURBAN UNIVERSITY OF TECHNOLOGY             | 72265   | 6     | 360 G               |
| 1158                                                            | NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY                   | 8       | UNIVERSITY OF JOHANNESBURG                  | FSB0509 | 0     | 0 G                 |
| 1281                                                            | NATIONAL HIGHER CERTIFICATE: ACCOUNTING                    | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE | 101939  | 5     | 264 G               |
| 926                                                             | NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY                   | 199     | WALTER SISULU UNIVERSITY                    | 80183   | 6     | 240 G               |
| 941                                                             | NATIONAL HIGHER CERTIFICATE: FINANCIAL INFORMATION SYSTEMS | 72      | CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE | 102020  | 5     | 240 G               |
| 753                                                             | NATIONAL HIGHER CERTIFICATE: FINANCIAL INFORMATION SYSTEMS | 199     | WALTER SISULU UNIVERSITY                    | 80184   | 6     | 240 G               |
| 1285                                                            | NATIONAL HIGHER DIPLOMA COST ACCOUNTING                    | 400     | TECHNIKON PRETORIA                          | FSB0616 | 7     | 0 G                 |
| 1131                                                            | OCCUPATIONAL CERTIFICATE: COMPLIANCE OFFICER               | 354     | COMPLIANCE INSTITUTE                        | 91671   | 6     | 240 G               |
| 606                                                             | POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING                 | 4       | MILPARK BUSINESS SCHOOL                     | 76943   | 8     | 160 G               |
| 606                                                             | POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING                 | 12      | UNIVERSITY OF KWAZULU-NATAL                 | 98919   | 8     | 128 G               |
| 606                                                             | POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING                 | 15      | UNIVERSITY OF WESTERN CAPE                  | 94571   | 8     | 120 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                          |         |                                              |         |       |                     |
|-----------------------------------------------------------------|----------------------------------------------------------|---------|----------------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                                      | Inst No | Institution                                  | SAQA ID | Level | Credits/Recognition |
| 1312                                                            | POSTGRADUATE DIPLOMA IN INVESTMENT MANAGEMENT            | 382     | MILPARK EDUCATION                            | 94678   | 8     | 120 G               |
| 970                                                             | POSTGRADUATE DIPLOMA: BUSINESS MANAGEMENT                | 252     | REGENESYS BUSINESS SCHOOL                    | 84066   | 8     | 120 G               |
| 1148                                                            | POSTGRADUATE DIPLOMA: CHARTERED ACCOUNTANCY              | 11      | UNIVERSITY OF FREE STATE                     | 97681   | 8     | 120 G               |
| 1166                                                            | POSTGRADUATE DIPLOMA: EMPLOYEE BENEFITS                  | 11      | UNIVERSITY OF FREE STATE                     | 93929   | 8     | 120 G               |
| 1163                                                            | POSTGRADUATE DIPLOMA: ESTATE PLANNING                    | 11      | UNIVERSITY OF FREE STATE                     | 96459   | 8     | 120 G               |
| 1150                                                            | POSTGRADUATE DIPLOMA: GENERAL ACCOUNTANCY                | 11      | UNIVERSITY OF FREE STATE                     | 97843   | 8     | 128 G               |
| 1217                                                            | POSTGRADUATE DIPLOMA: INTERNAL AUDITING                  | 385     | NELSON MANDELA UNIVERSITY                    | 84146   | 8     | 120 G               |
| 1162                                                            | POSTGRADUATE DIPLOMA: INVESTMENT PLANNING                | 11      | UNIVERSITY OF FREE STATE                     | 93909   | 8     | 120 G               |
| 1161                                                            | POSTGRADUATE DIPLOMA: PERSONAL FINANCIAL RISK MANAGEMENT | 11      | UNIVERSITY OF FREE STATE                     | 97689   | 8     | 120 G               |
| 971                                                             | POSTGRADUATE DIPLOMA: PROJECT MANAGEMENT                 | 76      | MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA) | 62110   | 8     | 120 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                   |         |                                        |         |       |                     |
|-----------------------------------------------------------------|---------------------------------------------------|---------|----------------------------------------|---------|-------|---------------------|
| No                                                              | Qualification title                               | Inst No | Institution                            | SAQA ID | Level | Credits/Recognition |
| 1149                                                            | POSTGRADUATE DIPLOMA: TAX STRATEGY AND MANAGEMENT | 11      | UNIVERSITY OF FREE STATE               | 62909   | 8     | 124 G               |
| 171                                                             | POSTGRADUATE DIPLOMA: ACCOUNTING                  | 15      | UNIVERSITY OF WESTERN CAPE             | 96614   | 0     | 0 G                 |
| 943                                                             | POSTGRADUATE DIPLOMA: BANKING                     | 4       | MILPARK BUSINESS SCHOOL                | 93608   | 8     | 120 G               |
| 764                                                             | POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION     | 9       | UNIVERSITY OF CAPE TOWN                | 66517   | 8     | 120 G               |
| 764                                                             | POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION     | 11      | UNIVERSITY OF FREE STATE               | 97200   | 8     | 136 G               |
| 1000                                                            | POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION     | 285     | ICFAIA BUSINESS SCHOOL                 | FSB0347 | 0     | 0 G                 |
| 700                                                             | POSTGRADUATE DIPLOMA: COMPLIANCE                  | 8       | UNIVERSITY OF JOHANNESBURG             | FSB0249 | 0     | 0 G                 |
| 334                                                             | POSTGRADUATE DIPLOMA: FINANCIAL PLANNING          | 11      | UNIVERSITY OF FREE STATE               | 48866   | 7     | 160 G               |
| 334                                                             | POSTGRADUATE DIPLOMA: FINANCIAL PLANNING          | 25      | NELSON MANDELA METROPOLITAN UNIVERSITY | 66096   | 7     | 120 G               |
| 498                                                             | POSTGRADUATE DIPLOMA: RISK MANAGEMENT             | 1       | UNISA                                  | 79926   | 8     | 120 G               |

| ANNEXURE 2<br>QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS |                                                                                      |         |                                                      |         |       |         |             |
|-----------------------------------------------------------------|--------------------------------------------------------------------------------------|---------|------------------------------------------------------|---------|-------|---------|-------------|
| No                                                              | Qualification title                                                                  | Inst No | Institution                                          | SAQA ID | Level | Credits | Recognition |
| 1046                                                            | POSTGRADUATE DIPLOMA: SMALL ENTERPRISE CONSULTING                                    | 307     | TSIBA                                                | 90822   | 8     | 120     | G           |
| 443                                                             | PROFESSIONAL ADVANCED QUALIFICATION: GOVERNANCE AND ADMINISTRATION                   | 90      | CHARTERED SECRETARIES SOUTHERN AFRICA                | 60655   | 6     | 120     | G           |
| 341                                                             | PROFESSIONAL POSTGRADUATE QUALIFICATION: COMPANY SECRETARIAL AND GOVERNANCE PRACTICE | 207     | GENERAL SGB 03                                       | 60654   | 7     | 120     | G           |
| 813                                                             | PROGRAMME IN ADVANCED BANK MANAGEMENT                                                | 1       | UNISA                                                | FSB0181 | 7     | 96      | G           |
| 891                                                             | PROGRAMME IN FINANCIAL MANAGEMENT                                                    | 1       | UNISA                                                | FSB0297 | 0     | 0       | G           |
| 93                                                              | PROGRAMME IN RISK MANAGEMENT                                                         | 1       | UNISA                                                | 75949   | 6     | 108     | G           |
| 293                                                             | REGISTERED PERSONS EXAM: EQUITY MARKET                                               | 51      | SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM) | FSB030  | 6     | 168     | G           |
| 942                                                             | SOUTH AFRICAN INSTITUTE OF STOCKBROKERS MEMBERSHIP EXAMINATIONS                      | 120     | SOUTH AFRICAN INSTITUTE OF STOCKBROKERS              | FSB0296 | 0     | 0       | G           |
| 1155                                                            | ZWEITE JURISTISCHE STAATSPRÜFUNG                                                     | 366     | LANDESJUSTIZPRÜFUNGSAMT IN NORDRHEIN-WESTFALEN       | FSB0504 | 0     | 0       | G           |